

In re application of: Coffman et al.
Serial No.: 09/374,374 Group: Art Unit 2654
Filed: August 13, 1999 Examiner: Angela A. Armstrong
For: METHOD FOR DETERMINING AND MAINTAINING DIALOG FOCUS IN A
CONVERSATIONAL SPEECH SYSTEM

Mail Stop AF
Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- [] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- [X] No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	25 * MINUS	25 **	= 0	X 9 \$	X 18 \$ 0
INDEP.	3 * MINUS	3 ***	= 0	X 42 \$	X 84 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 140 \$	X 280 \$
				TOTAL ADDIT. FEE \$0	OR TOTAL \$ 0

* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter 20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

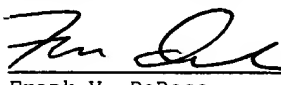
[] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$____. Two (2) copies of this sheet are enclosed.

[] A check in the amount of \$____ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLP
1900 Hempstead Turnpike
Suite 501
East Meadow, NY 11554
(516) 357-0091

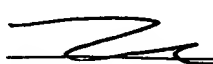
Respectfully submitted,


Frank V. DeRosa
Reg. No. 43,584
Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop AF, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on July 28, 2003.

Dated: 7/28/03


Frank V. DeRosa

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**RESPONSE UNDER 37 CFR 1.116
- EXPEDITED PROCEDURE -
EXAMINING GROUP ART UNIT 2654**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Coffman et al.

Examiner: A. Armstrong

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Serial No.: 09/374,374

Group Art Unit: 2654

AUG 04 2003

Filed: August 13, 1999

Docket: YO999-276 (8728-299)

Technology Center 2600

**For: METHOD FOR DETERMINING AND MAINTAINING
DIALOG FOCUS IN A CONVERSATIONAL SPEECH SYSTEM**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE

This is a response to the Final Office Action mailed on May 28, 2003. Applicants respectfully request reconsideration of the claim rejections based on the following remarks.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)

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Date:

7/28/03

Frank DeRosa